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PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Serial No.: 09/941,151  
Filed: August 28, 2001  
Art Unit: 3732  
Applicant: Chapoulaud et al.  
Title: CUSTOM ORTHODONTIC APPLIANCE FORMING METHOD  
AND APPARATUS  
Attorney Docket: ORM-156CI

Cincinnati, Ohio 45202

November 14, 2001

VIA HAND DELIVERY

Box: MISSING PARTS  
Assistant Commissioner for Patents  
Washington, D.C. 20231

Sir:

**MISSING PARTS TRANSMITTAL**

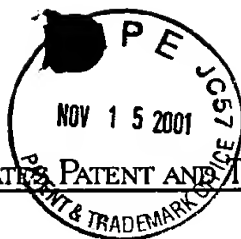
In response to the Notice to File Missing Parts, please find enclosed herewith a copy of such Notice along with an executed declaration. Also enclosed is a check in the amount of \$1,140.00 which includes: the surcharge of \$130 for filing the Declaration on a date later than the filing date of the application, in accordance with 37 C.F.R. 1.16(e); \$740.00 for basic filing fee; and \$270.00 for 15 additional claims over 20.

The Commissioner is hereby authorized to charge payment of any additional fees or credit any overpayment to Deposit Account No. 23-3000.

Respectfully submitted,  
WOOD, HERRON & EVANS, L.L.P.

By:   
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# UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
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APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/941,151	08/28/2001	Eric Chapoulaud	ORM-156CI

**CONFIRMATION NO. 4585**

## FORMALITIES LETTER



WOOD, HERRON & EVANS, L.L.P.  
2700 Carew Tower  
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Date Mailed: 10/11/2001

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

### Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.  
*Applicant must submit \$ 710 to complete the basic filing fee for a non-small entity. If appropriate, applicant may make a written assertion of entitlement to small entity status and pay the small entity filing fee (37 CFR 1.27).*
- Total additional claim fee(s) for this application is \$270.
  - \$270 for 15 total claims over 20.
- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 1110.

11/16/2001 ARDGF01 00000017 09941151

01 FC:101	740.00 OP
02 FC:105	130.00 OP
03 FC:103	270.00 OP

*A copy of this notice **MUST** be returned with the reply.*

*Summa*  
Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE